# **EXHIBIT D**

18-23538-shl Doc 9053-4 Filed 10/29/20 Entered 10/29/20 13:23:12 Exhibit United States Bankruptcy Court for the Southern District of New York

Fill in this information	on to identify the case (	(Select only one Debtor p	oer claim form):	
Sears Holdings Corporation (18-23538)	Kmart Corporation (18-23549)	Sears, Roebuck de Puerto Rico, Inc. (18-23561)	MyGofer LLC (18-23573)	Kmart.com LLC (18-23585)
Sears, Roebuck and Co. (18-23537)	MaxServ, Inc. (18-23550)	SYW Relay LLC (18-23562)	Sears Brands Business Unit Corporation (18-23574)	Corporation (18-23586)
Kmart Holding Corporation (18-23539)	Private Brands, Ltd. (18-23551)	Wally Labs LLC (18-23563)	Sears Holdings Publishing Company, LLC (18-23575)	
Kmart Operations LLC (18-23540)	Sears Development Co. (18-23552)	Big Beaver of Florida Development, LLC (18-23564)	Kmart of Michigan, Inc. (18-23576)	SHC Promotions LLC (18-23630)
Sears Operations LLC (18-23541)	Sears Holdings Management Corporation (18-23553)	California Builder Appliances, Inc. (18-23565)	SHC Desert Springs, LLC (18-23577)	SRe Holding Corporation (19-22031)
ServiceLive, Inc. (18-23542)	Sears Home & Business Franchises, Inc. (18-23554)	Florida Builder Appliances, Inc. (18-23566)	SOE, Inc. (18-23578)	
A&E Factory Service, LLC (18-23543)	Sears Home Improvement Products, Inc. (18-23555)	KBL Holding Inc. (18-23567)	StarWest, LLC (18-23579)	
A&E Home Delivery, LLC (18-23544)	Sears Insurance Services, L.L.C. (18-23556)	KLC, Inc. (18-23568)	STI Merchandising, Inc. (18-23580)	
A&E Lawn & Garden, LLC (18-23545)	Sears Procurement Services, Inc. (18-23557)	Sears Protection Company (Florida), L.L.C. (18-23569)	Troy Coolidge No. 13, LLC (18-23581)	
A&E Signature Service, LLC (18-23546)	Sears Protection Company (18-23558)	Kmart of Washington LLC (18-23570)	BlueLight.com, Inc. (18-23582)	
FBA Holdings Inc. (18-23547)	Sears Protection Company (PR) Inc. (18-23559)	Kmart Stores of Illinois LLC (18-23571)	Sears Brands, L.L.C. (18-23583)	
Innovel Solutions, Inc. (18-23548)	Sears Roebuck Acceptance Corp. (18-23560)	Kmart Stores of Texas LLC	Sears Buying Services, Inc. (18-23584)	

# **Proof of Claim**

04/16

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense, other than a claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9). Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment. A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

#### Part 1: Identify the Claim

1.	Who is the current creditor?	Armande Mock, Individually and as Personal Representative of the Estate of Roy Mock  Name of the current creditor (the person or entity to be paid for this claim)  Other names the creditor used with the debtor		
2.	Has this claim been acquired from someone else?	☑ No ☐ Yes. From whom?	_	
3.	Where should notices and payments to the	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)	
	reditor be sent? Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Sharon J. Zinns Zinns Law, LLC 2082 Westwood Rd SE Smyrna, GA 30080	same	
		Contact phone 404-882-9002  Contact email sharon@zinnslaw.com	Contact phone	
4.	Does this claim amend one already filed?	✓ No ☐ Yes. Claim number on court claims registry (if known)	Filed on	
5.	Do you know if anyone else has filed a proof of claim for this claim?	No Yes. Who made the earlier filing?		

Proof of Claim page 1

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Do you have any number you use to identify the debtor?	✓ No  Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor:
7. How much is the claim?	\$\frac{1,000,000}{\times \text{No}}\$. Does this amount include interest or other charges?  \times \text{No}  \text{Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).}
8. What is the basis of the claim?	Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or creditcard.  Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  Limit disclosing information that is entitled to privacy, such as health care information.  wrongful death of Roy Mock from mesothelioma; pathology report, death certificate, and relevant deposition pages attached
9. Is all or part of the claim secured?	No Yes. The claim is secured by a lien on property.    Real estate. If the claim is secured by the debtor's principal residence, file a Mortgage Proof of Claim Attachment (Official Form 410-A) with this Proof of Claim.   Motor vehicle   Other. Describe:    Basis for perfection:   Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)    Value of property: \$   Amount of the claim that is secured: \$ (The sum of the secured and unsecured amounts should match the amount in line 7.)    Amount necessary to cure any default as of the date of the petition: \$     Annual Interest Rate (when case was filed) %   Fixed   Fixed   Variable
10. Is this claim based on a lease?	✓ No  Yes. Amount necessary to cure any default as of the date of thepetition.  \$
11. Is this claim subject to a right of setoff?	✓ No  Yes. Identify the property:

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12. Is all or part of the claim entitled to priority under	☑ No				
11 U.S.C. § 507(a)?	Yes. Check one:			Amount entitled to priority	
A claim may be partly priority and partly nonpriority. For example,		c support obligations (including alimo c. § 507(a)(1)(A) or (a)(1)(B).	ny and child support)ur	nder	\$
in some categories, the law limits the amount entitled to priority.	☐ Up to \$2,850* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).			\$	
endued to priority.	bankrupt	salaries, or commissions (up to \$12,8 cy petition is filed or the debtor's busits 5. § 507(a)(4).			\$
		penalties owed to governmental unit	s. 11 U.S.C. § 507(a)(8	).	\$
		tions to an employee benefit plan. 11			\$
	_	pecify subsection of 11 U.S.C. § 507(		,	\$
					and data of adjustment
	Amounts are	subject to adjustment on 4/01/19 and ever	y 3 years after that for cas	es begun on or alter tr	e date of adjustment.
13. Is all or part of the claim entitled to administrative priority pursuant to 11 U.S.C. § 503(b)(9)?	by the Debto which the go	e the amount of your claim arising to the amount of your claim arising to within 20 days before the date of bods have been sold to the Debtor siness. Attach documentation supp	commencement of the commence of the commence of the contract of the comment of th	ne above case, in	\$
Part 3: Sign Below					
The person completing this proof of claim must	Check the approp	oriate box:			
sign and date it.	I am the creditor.				
FRBP 9011(b).  If you file this claim	_	ditor's attorney or authorized agent. tee, or the debtor, or their authorized	agent Bankruntov Bul	a 3004	
electronically, FRBP 5005(a)(2) authorizes courts	☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.				
to establish local rules specifying what a signature	I understand that an authorized signature on this <i>Proof of Claim</i> serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.				
A person who files a	I have examined and correct.	the information in this Proof of Claim	and have a reasonable	belief that the infor	mation is true
fraudulent claim could be fined up to \$500,000,		enalty of perjury that the foregoing is	true and correct.		
imprisoned for up to 5	Signature: Suaron J. Zinns				
years, or both. 18 U.S.C. §§ 152, 157, and 3571.	_	Sharon J. Zinns (Oct 29, 2020 12:57 EDT)  iii: sharon@zinnslaw.com			
	Signature Print the name of	of the person who is completing an	d signing this claim:	_	
	Name of the per-	son who is completing and signing	this claim:		
	Name	Sharon J. Zinns First name Midd	dle name	Last name	
		Attorney	de name	Last name	
	Title	· · · · · · · · · · · · · · · · · · ·			
	Company	Zinns Law, LLC  Identify the corporate servicer as the com	npany if the authorized age	ent is a servicer.	
	Address	2082 Westwood Rd SE			
		Number Street		00000	
		Smyrna <sub>City</sub>	GA State	30080 ZIP Code	
	Contact phone	(404) 882-9002	Email	_	zinnslaw.com

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Attach Supporting Documentation (limited to a single PDF attachment that is less than 5 megabytes in size and under 100 pages):		
k have supporting documentation. (attach below)	do not have supporting documentation.	
Attachment		

PLEASE REVIEW YOUR PROOF OF CLAIM AND SUPPORTING DOCUMENTS AND REDACT ACCORDINGLY PRIOR TO UPLOADING THEM. PROOFS OF CLAIM AND ATTACHMENTS ARE PUBLIC DOCUMENTS THAT WILL BE AVAILABLE FOR ANYONE TO VIEW ONLINE.

IMPORTANT NOTE REGARDING REDACTING YOUR PROOF OF CLAIM AND SUPPORTING DOCUMENTATION When you submit a proof of claim and any supporting documentation you must show only the last four digits of any social-security, individual's tax-identification, or financial-account number, only the initials of a minor's name, and only the year of any person's date of birth. If the claim is based on the delivery of health care goods or services, limit the disclosure of the goods or services so as to avoid embarrassment or the disclosure of confidential health care information.

A document has been redacted when the person filing it has masked, edited out, or otherwise deleted, certain information. The responsibility for redacting personal data identifiers (as defined in Federal Rule of Bankruptcy Procedure 9037) rests solely with the party submitting the documentation and their counsel. Prime Clerk and the Clerk of the Court will not review any document for redaction or compliance with this Rule and you hereby release and agree to hold harmless Prime Clerk and the Clerk of the Court from the disclosure of any personal data identifiers included in your submission. In the event Prime Clerk or the Clerk of the Court discover that personal identifier data or information concerning a minor individual has been included in a pleading, Prime Clerk and the Clerk of the Court are authorized, in their sole discretion, to redact all such information from the text of the filing and make an entry indicating the correction.

### Modified Form 410

# **Instructions for Proof of Claim**

United States Bankruptcy Court 12/15

These instructions and definitions generally explain the law. In certain circumstances, such as bankruptcy cases that debtors do not file voluntarily, exceptions to these general rules may apply. You should consider obtaining the advice of an attorney, especially if you are unfamiliar with the bankruptcy process and privacy regulations.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157 and 3571.

#### How to fill out this form

- Fill in all of the information about the claim as of the date the case was filed.
- Fill in the caption at the top of the form.
- If the claim has been acquired from someone else, then state the identity of the last party who owned the claim or was the holder of the claim and who transferred it to you before the initial claim was filed.
- Attach any supporting documents to this form.

Attach redacted copies of any documents that show that the debt exists, a lien secures the debt, or both. (See the definition of *redaction* on the next page.)

Also attach redacted copies of any documents that show perfection of any security interest or any assignments or transfers of the debt. In addition to the documents, a summary may be added. Federal Rule of Bankruptcy Procedure (called "Bankruptcy Rule") 3001(c) and (d).

- Do not attach original documents because attachments may be destroyed after scanning.
- If the claim is based on delivering health care goods or services, do not disclose confidential health care information. Leave out or redact confidential information both in the claim and in the attached documents.

- A Proof of Claim form and any attached documents must show only the last 4 digits of any social security number, individual's tax identification number, or financial account number, and only the year of any person's date of birth. See Bankruptcy Rule 9037.
- For a minor child, fill in only the child's initials and the full name and address of the child's parent or guardian. For example, write A.B., a minor child (John Doe, parent, 123 Main St., City, State). See Bankruptcy Rule 9037.

#### Confirmation that the claim has been filed

To receive confirmation that the claim has been filed, either enclose a stamped self-addressed envelope and a copy of this form. You may view a list of filed claims in this case by visiting the Claims and Noticing Agent's website at <a href="http://restructuring.primeclerk.com/sears">http://restructuring.primeclerk.com/sears</a>.

#### Understand the terms used in this form

**Administrative expense:** Generally, an expense that arises after a bankruptcy case is filed in connection with operating, liquidating, or distributing the bankruptcy estate. 11 U.S.C. § 503.

**Claim:** A creditor's right to receive payment for a debt that the debtor owed on the date the debtor filed for bankruptcy. 11 U.S.C. §101 (5). A claim may be secured or unsecured.

Claim Pursuant to 11 U.S.C. §503(b)(9): A claim arising from the value of any goods received by the Debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of the Debtor's business. Attach documentation supporting such claim.

**Creditor:** A person, corporation, or other entity to whom a debtor owes a debt that was incurred on or before the date the debtor filed for bankruptcy. 11 U.S.C. §101 (10).

**Debtor:** A person, corporation, or other entity who is in bankruptcy. Use the debtor's name and case number as shown in the bankruptcy notice you received. 11 U.S.C. § 101(13).

**Evidence of perfection:** Evidence of perfection of a security interest may include documents showing that a security interest has been filed or recorded, such as a mortgage, lien, certificate of title, or financing statement.

Information that is entitled to privacy: A *Proof of Claim* form and any attached documents must show only the last 4 digits of any social security number, an individual's tax identification number, or a financial account number, only the initials of a minor's name, and only the year of any person's date of birth. If a claim is based on delivering health care goods or services, limit the disclosure of the goods or services to avoid embarrassment or disclosure of confidential health care information. You may later be required to give more information if the trustee or someone else in interest objects to the claim.

Priority claim: A claim within a category of unsecured claims that is entitled to priority under 11 U.S.C. §507(a). These claims are paid from the available money or property in a bankruptcy case before other unsecured claims are paid. Common priority unsecured claims include alimony, child support, taxes, and certain unpaid wages.

**Proof of claim:** A form that shows the amount of debt the debtor owed to a creditor on the date of the bankruptcy filing. The form must be filed in the district where the case is pending.

**Redaction of information:** Masking, editing out, or deleting certain information to protect privacy. Filers must redact or leave out information entitled to **privacy** on the *Proof of Claim* form and any attached documents.

**Secured claim under 11 U.S.C. §506(a):** A claim backed by a lien on particular property of the debtor. A claim is secured to the extent that a creditor has the right to be paid from the property before other creditors are paid. The amount of a secured claim usually cannot be more than the value of the particular property on which the creditor has a lien. Any amount owed to a creditor that is more than the value of the property normally may be an unsecured claim. But exceptions exist; for example, see 11 U.S.C. § 1322(b) and the final sentence of 1325(a).

Examples of liens on property include a mortgage on real estate or a security interest in a car. A lien may be voluntarily granted by a debtor or may be obtained through a court proceeding. In some states, a court judgment may be a lien.

**Setoff:** Occurs when a creditor pays itself with money belonging to the debtor that it is holding, or by canceling a debt it owes to the debtor.

**Unsecured claim:** A claim that does not meet the requirements of a secured claim. A claim may be unsecured in part to the extent that the amount of the claim is more than the value of the property on which a creditor has a lien.

#### Offers to purchase a claim

Certain entities purchase claims for an amount that is less than the face value of the claims. These entities may contact creditors offering to purchase their claims. Some written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court, the bankruptcy trustee, or the debtor. A creditor has no obligation to sell its claim. However, if a creditor decides to sell its claim, any transfer of that claim is subject to Bankruptcy Rule 3001(e), any provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.) that apply, and any orders of the bankruptcy court that apply.

#### Please send completed Proof(s) of Claim to:

Sears Holdings Corporation Claims Processing Center c/o Prime Clerk LLC 850 3rd Avenue, Suite 412 Brooklyn, NY 11232

## Do not file these instructions with your form



1-800-533-1710 PATHC

Pathology Consultation

Patient ID M000449060	Patient Name MOCK, ROY E		Birth Date 1943-01-22	Gender M	Age 74
Order Number SA00365049	Client Order Number \$17-2782	Ordering Physician HEJKA,ANTHONY	Report Notes		
Account Information C7012680 Candler Hospit	al	O5 Sep 2017 13:38			

### **Pathology Consult**

**Case Number** CR - 17 - 51656

Interpretation

MCR

#### FINAL DIAGNOSIS

Pleura, left, blopsy (S17-2782, 9/5/2017): Malignant mesothelioma, blphasic type (see Comment).

#### COMMENT

This 74-year-old gentleman presented with pleural effusion and was found to have pleural based lesions on the left anterior chest wall. I had the pleasure to review this case because of my special interest in pulmonary pathology and also shared it with my colleague, Dr. Joanne E. Yi, who shares my interest and we completely agree with your assessment.

The submitted sildes from the left pleura reveal a biphasic neoplasm. This neoplasm is predominantly comprised of atypical spindle cells. However, there are also foci of more round to oval cells with open chromatin, prominent nucleoil, and ample cytoplasm. The atypical cells are growing in a fibrotic background and a tumefactive growth pattern. Overall, in my opinion, this a malignant neoplasm and I considered a biphasic malignant mesothelioma, a sarcomatoid carcinoma, metastatic melanoma, and sarcoma. Submitted immunostains show that the neoplastic cells at least focally are positive for MCK, CK7, CK5, calretinin, p63, vimentin, and WT1, and are negative for TTF-1, MOC31, BerEp4, B72.3, S-100, CK20, and CD15. Desmin and SMA stain the sarcomatoid component. These morphological and immunophenotypical features are suggestive of a biphasic malignant mesothelioma. Given the strong expression of desmin and SMA in the sarcomatoid component, heterologous leiomyosarcoma-elements might also be a possibility.

Thank you for sharing this case with me. Your submitted material is enclosed. If you have any questions, please do not hesitate to reach me at 507–284–1798. I would appreciate any further follow-up information that you may received on this case.

Participated in the Interpretation

MOR

Report electronically signed by

MGR

Raghavendra Pillappa, M.B.B.S.-Pathology Fellow

Anja C. Roden, M.D. 8-2179

I verify that I have examined all relevant slides/materials for the specimen(s) and rendered or confirmed the diagnosis.

Seen in consultation with: Joanne E. Yi, M.D. 8-9973

**Material Received** 

MGR

A. \$17-2782: Left pleura 18 stained slides, 1 block

Received: 16 Sep 2017 06:17

Reported: 18 Sep 2017 16:55

Performing Site Legend

Code	Laboratory	Address
MCR	Mayo Clinic Laboratories - Rochester Main Campus	200 First Street SW, Rochester, MN 55905

Printed 19 Sep 2017

Report Status: Final
Received and reported dates and times are reported in US Central Time.

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#### GEORGIA DEATH CERTIFICATE

2019GA000016800 State File Number DECEDENT'S LEGAL FULL NAME (First, Middle, Last) 1a IF FEMALE ENTER LAST NAME AT BIRTH 2 SEX 2a DATE OF DEATH (Mo. Day Year) ROY EDWARD MOCK ACTUAL DATE OF DEATH 03/19/2019 MALE 3. SOCIAL SECURITY NUMBER 4a, AGE (Years) 4b, UNDER 1 YEAR 4c. UNDER 1 DAY 5. DATE OF BIRTH (Mo., Day, Year) Mos. Days Hours 01/22/1943 262-66-0629 76 6. BIRTHPLACE 7a, RESIDENCE - STATE 7b. COUNTY 7c. CITY, TOWN **GEORGIA GEORGIA** SAVANNAH CHATHAM 7d, STREET AND NUMBER 7e, ZIP CODE 7f, INSIDE CITY LIMITS? 8, ARMED FORCES? 201 RUSS CIRCLE 31406 YES YES 8a USUAL OCCUPATION 8b. KIND OF INDUSTRY OR BUSINESS FLOOR CONTRACTOR CONSTRUCTION 9. MARITIAL STATUS 10. SPOUSE NAME 11, FATHER'S FULL NAME (First, Middle, Last) MARRIED JOHNNY MOCK ARMANDE F. SWEENEY 12. MOTHER'S MAIDEN NAME (First, Middle, Last) 13a, INFORMANT'S NAME (First, Middle, Last) 13b. RELATIONSHIP TO DECEDENT MOURICE TOOTLE WIFE ARMANDE MOCK 13c. MAILING ADDRESS 14 DECEDENT'S EDUCATION 201 RUSS CIRCLE SAVANNAH GEORGIA 31406 HIGH SCHOOL GRADUATE OR GED COMPLETED 16. DECEDENT'S RACE (White, Black, American Indian, etc.) (Specify) 15. ORIGIN OF DECEDENT (Italian, Mex., French, English, etc.) NO, NOT SPANISH/HISPANIC/LATINO WHITE 17a. IF DEATH OCCURRED IN HOSPITAL 17b, IF DEATH OCCURRED OTHER THAN HOSPITAL (Specify) DECEDENT'S HOME 18, HOSPITAL OR OTHER INSTITUTION NAME (If not in either give street and no.) 20: COUNTY OF DEATH 19. CITY, TOWN or LOCATION OF DEATH SAVANNAH 201 RUSS CIRCLE CHATHAM 21. METHOD OF DISPOSITION (specify) 22. PLACE OF DISPOSITION 23. DISPOSITION DATE (Mo., Day, Year) DANIEL BAPTIST CEMETERY HWY 17 RICHMOND HILL GEORGIA 31324 BURIAL 03/23/2019 24a; EMBALMER'S NAME 24b. EMBALMER LICENSE NO. 25. FUNERAL HOME NAME SANFORD L CARTER JR CARTER FUNERAL HOME - RICHMOND HILL 25a, FUNERAL HOME ADDRESS 10512 FORD AVE RICHMOND HILL GEORGIA 31324 26a, SIGNATURE OF FUNERAL DIRECTOR 26b FUN DIR LICENSE NO AMENDMENTS SANFORD CARTER 3938 27, DATÉ PRONOUNCED DEAD (Mo., Day, Year) 28. HOUR PRONOUNCED DEAD 03/19/2019 15:20 MILITARY 29a PRONOUNCER'S NAME 29b, LICENSE NUMBER 29c DATE SIGNED Marlene ALICE Kears RN200599 03/19/2019 30. TIME OF DEATH 31. WAS CASE REFERRED TO MEDICAL EXAMINER 15:20 MILITARY NO Approximate interval between onset and death 32, Part I, Enter the chain of events-diseases, injuries, or complications that directly caused the death, DO NOT enter terminal events such as cardiac arrest, respiratory arrest, Or ventricular fibrillation without showing the etiology, DO NOT ABBREVIATE, MESOTHELIOMA-DID METASTASIZED **YEARS** IMMEDIATE CAUSE (Final Due to, or as a consequence of disease or condition resulting in death) В. Due to, or as a consequence of Due to, or as a consequence of Part II. Enter significant conditions contributing to death but not related to cause 34. WERE AUTOPSY FINDINGS AVAILABLE TO COMPLETE THE CAUSE OF DEATH? 33, WAS AUTOPSY PERFORMED? given in Part 1A. If female, indicate if pregnant or birth occurred within 90 days of death. NO 35. TOBACCO USE CONTRIBUTED TO DEATH 36. IF FEMALE (range 10-54) PREGNANT 37\_ACCIDENT, SUICIDE, HOMICIDE, UNDETERMINED (Specify) UNKNOWN NATURAL NOT APPLICABLE 39. TIME OF INJURY 40. PLACE OF INJURY (Home, Farm, Street, Factory, Office, Etc.) (Specify) 38, DATE OF INJURY (Mo., Day, Year) 41. INJURY AT WORK? (Yes or No) 42. LOCATION OF INJURY (Street, Apartment Number, City or Town, State, Zip, County) 43. DESCRIBE HOW INJURY OCCURRED 44. IF TRANSPORTATION INJURY 45. To the best of my knowledge death occurred at the time, date and place and due to the cause(s) stated. Medical Certifier (Name, Title, License No.) 46. On the basis of examination and/or investigation, in my opinion death occurred at the time, date and place and due to the cause(s) stated, Medical Examiner/Coroner (Name, Title, License No.) CYNTHIA L CARTER, MD, 056534 45a, DATE SIGNED (Mo., Day, Year) 45b, HOUR OF DEATH 46a, DATE SIGNED (Mo., Day, Year) 46b. HOUR OF DEATH 03/22/2019 15:20 MILITARY 47. NAME, ADDRESS, AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH CYNTHIA L CARTER 1352 EISENHOWER DRIVE DRIVE SAVANNAH GEORGIA 31416 48 REGISTRAR 49. DATE FILED - REGISTRAR (Mo., Day, Year) /S/ GWENDOLYN DUFFIN (Signature) 03/26/2019

THIS IS TO CERTIFY THAT THIS IS A TRUE REPRODUCTION OF THE ORIGINAL RECORD ON FILE WITH THE STATE OFFICE OF VITAL RECORDS, GEORGIA DEPARTMENT OF PUBLIC HEALTH. THIS CERTIFIED COPY IS ISSUED UNDER THE AUTHORITY OF CHAPTER 31-10, CODE OF GEORGIA AND 511-1-3 DPH RULES AND REGULATIONS.

DEPUTY STATE REGISTRAR AND CUSTODIAN GEORGIA STATE OFFICE OF VITAL RECORDS COUNTY CUSTODIAN:

DATE ISSUED:

Any reproduction of this document is prohibited by statute. Do not accept unless embossed with a raised seal, VOID IF ALTERED OR COPIED

SOME RELEVANT PAGES OF THE DEPOSITIONS OF ROY MOCK ARE ATTACHED AS SUPPORTING PROOF. GIVEN THE LENGTH OF THE DEPOSITIONS, ALL PAGES CANNOT BE ATTACHED PER INSTRUCTIONS THAT SUBMISSION BE FEWER THAN 100 PAGES. A FULL AND COMPLETE COPY OF THE DEPOSITIONS WILL BE PRODUCED UPON REQUEST.

IN THE STATE COURT O	F CHA	THAM COU	JNTY
STATE OF	GEORG	IA	
ROY MOCK and ARMANDE MOCK,	)		
	)		
Plaintiffs,	)	CIVIL	ACTION FILE
	)		
vs.	)	NO.:	STCV1701890
	,		
AMERICAN BILTRITE, INC.,	ý		
et al.,	,		
et al.,	,		
	)		
Defendants.	)	Volume	e I of III
	)	(Pages	s 1-193)
		_	

Deposition of ROY E. MOCK, taken on behalf of the Defendants, pursuant to the stipulations contained herein, reading and signing of the deposition being reserved, in accordance with the Georgia Civil Practice Act, before Daniel M. Gershwin, Certified Court Reporter and Notary Public, at the Embassy Suites, 145 West Mulberry Boulevard, Savannah, Georgia, on the 9th day of January, 2018, commencing at the hour of 10:08 a.m.

REGENCY-BRENTANO, INC.
CERTIFIED COURT REPORTERS
13 Corporate Square
Suite 140
Atlanta, Georgia 30329
(404) 321-3333

1	of the residential homes, do you know who made that?
2	A Different companies.
3	Q Do you recall any that Coastal used while
4	we're sitting here today?
5	A Sir?
6	Q Do you know any of the companies that
7	made carpet that Coastal Flooring installed while you
8	were there?
9	A Let's see. Mohawk, Columbus Mills,
10	Burlington Industries, and I know there's several
11	others.
12	Q Did you install any vinyl tile at any of
13	the residential homes while at Coastal?
14	A No.
15	Q So then we're looking around '76 you left
16	Coastal, and where did you go?
17	A Well, in the early '77s, several of the
18	Sears salesmen would come to the houses that I was
19	working in and they would watch me. And they talked
20	me into signing a contract with Sears to install their
21	merchandise.
22	Q So you kind of went out on your own and
23	worked as a contractor for Sears?
24	A Yes.
25	Q And how long did you maintain that

**January 9, 2018** 

1	relationship	with Sears?
2	A	Probably close to 30 years.
3	Q	Did you have to sign some sort of
4	contract to	work for them?
5	A	Yes.
6	Q	And how long did the contract last?
7	A	One year. But I don't think I've ever
8	signed but o	ne.
9	Q	Okay. So you signed that first year and
10	then just kep	ot working for them?
11	A	Yeah.
12	Q	Do you recall the names of any of these
13	Sears employe	ees that came and talked to you?
14	A	Lloyd Lein.
15		MR. BEDINGER: I'm sorry. What was that?
16		THE WITNESS: Lloyd Lein.
17		MR. BEDINGER: Lloyd?
18		THE WITNESS: Lein, L-E-I-N. He passed
19	away in	1989.
20	BY MR. HAWKII	NS:
21	Q	Do you know what he passed away from?
22	A	Cancer.
23	Q	Do you know what type of cancer?
24	A	No, not exactly.
25	Q	Is there anyone else other than Lloyd

1	that made thi	s initial pitch to you to start working
2	for Sears?	
3	A	He was the main one.
4	Q	Okay. And where was Lloyd based out of?
5	A	Savannah, Georgia.
6	Q	Where was the Sears store in Savannah
7	located?	
8	A	Oglethorpe Mall.
9	Q	So then after signing this contract with
10	Sears, how di	d your relationship work with them in
11	terms of get	ing work?
12	A	Real good.
13	Q	It was good?
14		So would they just call you up and say we
15	got somebody	that needs flooring installed?
16	A	Well, the salesman would go out and sell
17	the merchand	se to the homeowner, and then they would
18	give me a cor	ntract that was signed by the homeowner
19	for me to go	install it in their house.
20	Q	Did you ever install any products other
21	than flooring	1,5
22	A	One time we did some remote-controlled
23	ceiling fans	for just like two or three weeks, and
24	then we quit	doing it.
25	Q	Okay. Now, when you did this work for

1	Sears, did you	r company have a name or did you just
2	work by yourse	lf?
3	A M	ock's Floor Covering Service.
4	Q A	nd is Mock's incorporated?
5	A N	o.
6	Q D	o you have a business license with the
7	city or county	?
8	A W	ith the contract with Sears I wasn't
9	required to ha	ve no license because I did
10	90-something p	ercent of what I did was residential
11	work, and I die	dn't change the condition of the house
12	as far as size	or anything.
13	Q A	nd how long did you run Mock's Floor
14	Covering?	
15	A F	rom '77 to 2006.
16	Q D	id you have any employees?
17	A Y	es.
18	Q H	ow many employees did you have?
19	A M	ost of the time it was just me and one
20	other guy, and	he was like a subcontractor. He wasn't
21	actually an emp	ployee.
22	Q J	ust somebody you'd hire to help you with
23	a specific job	?
24	A Y	eah.
25	Q D	o you recall the names of any of those

1	people that you hired?	
2	A Oh, Lord. Yeah, my son-in-law, Bobby	
3	Sauls. He was with me longer than anybody else.	
4	Q When did Bobby start working with you?	
5	A In 2002, I believe.	
6	Q Did Mock's Floor Company advertise in any	
7	newspapers or	
8	A No.	
9	Q Did you get customers any other way other	
10	than through Sears?	
11	A Yes.	
12	Q How did you get those other customers?	
13	A Just from name and all.	
14	Q Like word of mouth?	
15	A Yeah.	
16	Q And what percentage of your work came	
17	from Sears versus word of mouth?	
18	A With Sears when I was doing Sears work	
19	I'd say about 90 percent.	
20	Q And you said 90 percent of that was	
21	residential?	
22	A Yes.	
23	Q And the remaining 10 percent, where did	
24	you work at for that time?	
25	A Maybe a church every now and then or	

IN THE STATE COURT O	F CHA	THAM COU	JNTY	
STATE OF	GEORG	IA		
ROY MOCK and ARMANDE MOCK,	)			
	)			
Plaintiffs,	)	CIVIL	ACTION	FILE
	)			
vs.	)	NO.:	STCV17	01890
	)			
AMERICAN BILTRITE, INC.,	)			
et al.,	)			
	)			
Defendants.	)	Volume	e II of	III
	)	(Page:	s 194-3	99)
		_		

Deposition of ROY E. MOCK, taken on behalf of the Defendants, pursuant to the stipulations contained herein, reading and signing of the deposition being reserved, in accordance with the Georgia Civil Practice Act, before Daniel M. Gershwin, Certified Court Reporter and Notary Public, at the Embassy Suites, 145 West Mulberry Boulevard, Savannah, Georgia, on the 10th day of January, 2018, commencing at the hour of 9:00 a.m.

REGENCY-BRENTANO, INC.
CERTIFIED COURT REPORTERS
13 Corporate Square
Suite 140
Atlanta, Georgia 30329
(404) 321-3333

1	A	That'd be almost impossible.	
2	Q	Okay.	
3	A	Because I used some of all of them.	
4	Q	All right. Is there one or two that you	
5	used more o	E?	
6	А	No, sir, I used some of all of them.	
7	Q	Okay. And after you spent seven or nine	
8	months at Co	pastal, the Sears people persuaded you to	
9	sign a cont	ract with Sears to do their installing?	
10	A	Yes, sir.	
11	Q	When you worked as a Sears installer, did	
12	you use the same brands of sheet vinyl flooring or		
13	were there	different brands?	
14	А	There was all different brands.	
15	Q	Okay.	
16	A	But a lot of the same brands I used at	
17	Coastal.		
18	Q	All right. I'm going to ask you the same	
19	question no	w. We're stretching over 30 years, and I	
20	know that's	a long time. But is there a way for you	
21	to give us p	percentages of what manufacturer you used	
22	on your floo	or jobs installing for Sears?	
23	A	No, sir.	
24	Q	You told us yesterday that you estimated	
25	that 95 per	cent of the work you did as a Sears	

1	Q Okay. When you first got it?
2	A Yeah. Very seldom we ever used it in a
3	customer's home.
4	Q After that. It just wasn't needed I take
5	it?
6	A No.
7	Q If you needed it, you'd go get it?
8	A We used it inside the Sears store to take
9	up floor tiles inside the store.
10	Q That's the square 12 by 12?
11	A Yes, sir.
12	Q Okay. Do you know what kind of floor
13	tile that was that you took up?
14	A I think Sears used a Tarkett.
15	Q I wasn't fishing for a brand name, but
16	thank you.
17	Do you know what kind of tile it was,
18	what it was made of?
19	A I always called it an asbestos vinyl
20	tile.
21	Q All right. Is that the only time you
22	ever removed what you call asbestos vinyl tile?
23	A That was the majority of the onliest
24	time, yes.
25	Q All right. How big of a surface area was

that Sears floor that you used that electric machine
on back in the late '80s?
A God, I don't remember how big the store
was. It was two stories.
Q And did you have that tile to remove on
both stories?
A Yes.
Q So you did the upstairs and the
downstairs?
A Yes, sir.
Q From the north side to the south side?
A Yes, sir.
Q So the entire floor was removed and then
you installed something new on the new surface?
A Yes, sir.
Q Okay. How long did that job take?
A Probably a couple of months.
Q Working
A At nighttime.
Q At nighttime. I was going to ask you
because it sounds like that took up your full
calendar, but you did it after you finished other
jobs?
A Yes.
Q So you would how would that work? Did

1	you set aside part of the store to get to on a Tuesday
2	night?
3	A Yes. They would move merchandise out of
4	certain areas.
5	Q And that was your target area?
6	A Yes.
7	Q So you'd come in after your normal
8	workday and go to work removing the old floor in the
9	Sears
10	A Yes.
11	Q area?
12	Okay. When you used that machine on that
13	tile, what happened to the tile?
14	A We scraped it up and put it in a dumpster
15	to be hauled away.
16	Q All right. I'm with you there. I'm
17	asking a more particular question.
18	That 12-inch by 12-inch tile and you put
19	the machine on it, what happens to that tile? Does it
20	pop up like just free and clear (indicating) or does
21	it break?
22	A It breaks all to pieces.
23	Q Okay. How old was that floor in the
24	Sears building, if you know, before you took it up?
25	A I think it was installed when the Sears

Mock v. American Biltrite, et al.

```
store was built, and I don't know when the Sears store
1
2
    was built, but I know it was there for quite a while.
3
                  All right. You believe it was the
4
    original floor --
5
         Α
                  Yes.
 6
         Q
                  -- for that building?
7
                  So if I find out when that Sears store
    opened, we might know when the floor was installed?
8
9
                  That's right.
         Α
10
         Q
                  Okay. And Sears will tell us if they
11
    know.
12
                  Now, when you worked with that floor tile
13
    and that machine and the tiles broke up, did each and
14
    every one break up like that or did some of them come
15
    up free and clear?
16
         Α
                  I'd say 99 percent of them broke all to
17
    pieces.
18
         Q
                  All to pieces?
19
                  (Nods head affirmatively.)
         Α
20
                  So help me with the biggest piece that's
         Q
21
    left from a 12-by-12 tile after you use that machine
22
    on it in the Sears store.
23
         Α
                  Maybe a 2 by 4 inch.
                  Section?
24
         Q
25
         Α
                  Yes.
```

no.
no.
1
a
b

operating the	at machine?	
A	Sometimes right next to him.	
Q	Okay. Did he wear a mask?	
A	No.	
Q	Okay. So there wasn't enough dust from	
this job to	make you think that somebody needed to	
wear a mask	like another removal job that you've	
talked about	this morning?	
A	At the time, no, I didn't think so.	
Q	Okay. And 99 percent of it broke up. Is	
that the who	le Sears building upstairs	
A	Yes.	
Q	and down?	
A	Yes.	
Q	Okay. Did you see the machine strike	
that.		
	Were you present for the whole time when	
the job was	done?	
A	Yes.	
Q	Okay. And it took the better part of	
three months?		
A	Two or three months, yes.	
Q	Okay.	
	MR. BEDINGER: (Indicating.)	
	MS. ZINNS: No, no, we're okay,	
	Q this job to a wear a mask a talked about A Q that the who A Q that the job was A Q that.	

```
1
         unless -- unless you're turning to --
2
                 MR. BEDINGER:
                                 No.
                  MS. ZINNS: -- a completely different
3
4
         topic.
5
                                 No, not yet.
                  MR. BEDINGER:
 6
                  MS. ZINNS:
                              Okay.
7
                 MR. BEDINGER: So thank you. I just saw
8
         you looking at your watch.
9
                 MS. ZINNS: I'm just checking out how
10
         long we've been doing this.
11
                 MR. BEDINGER: Right.
    BY MR. BEDINGER:
12
13
                  And you don't know who made this vinyl
14
    asbestos tile that you took up from Sears; do you?
15
                  I want to say it was Tarkett, but I'm not
         Α
16
    a hundred percent sure.
17
         Q
                  I'll let someone else ask you about that.
18
                 Did you ever operate that electric floor
19
    tile remover?
20
                  If I could get out of it, no.
         Α
21
         Q
                  I understand that, but did you ever?
22
                 Yes.
         Α
23
                  Okay. Other than this Sears job, did you
         Q
24
    ever operate it?
25
         Α
                 Maybe a couple of times but not many.
```

```
1
                  Okav.
         Q
                         And you didn't use it much after
2
    that job --
3
         Α
                  No.
4
                  -- if I heard your testimony earlier?
         Q
                  Right. We kind of retired it.
5
         Α
 6
         Q
                  And truth is, you didn't have a job that
7
    needed it like the Sears store did?
8
         Α
                  No.
9
                  MR. BEDINGER: This is probably a good
10
         time to take a break.
11
                  MS. ZINNS: Yeah. It's been almost an
12
         hour so --
13
                  MR. BEDINGER: Yeah.
14
                  MS. ZINNS: -- why don't we take a
15
         five-minute break.
16
                  THE VIDEOGRAPHER: Going off video at
         9:55 a.m.
17
18
                      (VIDEO CAMERA OFF.)
19
                  (Thereupon, a recess was taken.)
20
                       (VIDEO CAMERA ON.)
21
                  THE VIDEOGRAPHER: Back on video at
22
         10:06 a.m., start of File 6.
    BY MR. BEDINGER:
23
24
                  Mr. Mock --
         Q
25
                  Yes, sir.
         Α
```

1	Q we talked about or you talked about
2	yesterday some other catalog Sears stores, but the one
3	you did the tile job on, removing and putting down new
4	flooring, that was not a catalog office; was it?
5	A No, sir.
6	Q That was the main store at Oglethorpe
7	Mall?
8	A Yes, sir. It's a Sears retail store.
9	Q So it's got everything in there?
10	A Yes, sir.
11	Q Blue jeans and lawn
12	A Right.
13	Q mowers and washing machines and the
14	whole
15	A Yes.
16	Q the whole gamut?
17	Okay. Talking about that electric
18	machine that you used on the that was used on the
19	removal job at Sears, did you ever have to use that
20	machine on vinyl sheet flooring to get up some
21	section?
22	A We tried it on it before, but it really
23	didn't work good on sheet vinyl.
24	Q Okay. Why was that?
25	A Because it would slip up on the vinyl and

1	And how were you paid for that work?
2	A Sears paid me.
3	Q Okay. Do you remember the and Frank
4	may have asked you this, and pardon me if I'm
5	repeating it. Did you also reinstall the floor, some
6	floor product, after you'd taken the old floor up?
7	A Yes, sir.
8	Q And what product did you use for that?
9	What type of product did you use to replace it?
10	A Like I said, if I remember right, I'm
11	pretty sure the boxes said Tarkett on it.
12	Q That you replaced it with?
13	A Yes.
14	Q Oh, okay. I don't remember that. I
15	thought you were talking about the material you took
16	up.
17	A No.
18	Q Okay. I wrote that down wrong then.
19	Could you identify now, strike that.
20	Going back to this Sears job at the
21	Oglethorpe Mall, the Sears store of tile removal, that
22	was floor tile that you were taking up?
23	A Yes, sir.
24	Q 12-by-12 squares?
25	A Yes, sir.

1	Q Do you remember the color?
2	A Sort of an off-white color.
3	Q Okay. Do you remember anything about the
4	texture of the tile that you were taking up?
5	A Well, the top had a it didn't have no
6	indented pattern in it or nothing. It was a smooth
7	surface.
8	Q Smooth surface?
9	A And the bottom of the tiles was a little
10	rough.
11	Q Okay. But the bottom was all part of the
12	same tile, though, it wasn't a different material?
13	A Yeah. It had the same color all the way
14	through.
15	Q Okay. The off-white kind of color?
16	A Yes, sir.
17	Q Did the top of the tile have any kind of
18	pattern that you recall or was it just solid off
19	white?
20	A Well, it had like little pieces of
21	colored stones and stuff in it, not a lot, but the
22	majority of the tile was white.
23	Q And you don't recall when that tile you
24	were taking up was originally installed; right?
25	A As far as I know, it was when the store

```
1
    was built.
2
                         And had that -- do you have a
         Q
                  Okay.
3
    judgment as to when the store was built?
4
                  I think it was in the '70s.
         Α
5
                  Okay. Now, the tile that you were taking
         Q
 6
        did it have any writing on it?
7
         Α
                  If it did, I didn't see any.
8
                  The tile that you were taking up, did it
         Q
9
    have the name Tarkett anywhere on it?
10
         Α
                  The one I was taking up?
11
         Q
                  Yeah.
12
         Α
                  As far as I can tell, no.
                  Okay. Why do you think it was Tarkett
13
         Q
14
    tile that you were taking up?
15
         Α
                  I didn't say it was Tarkett tile that was
16
    taken up.
17
         Q
                  Okay.
18
         Α
                  I said I thought it was Tarkett that we
19
    was putting down.
20
                  I gotcha you. Okay. I had that all
         Q
21
    confused, and I appreciate -- so you can't identify
22
    who made the tile you were taking up?
23
         Α
                  No, sir.
24
         Q
                  Okay. Now, the tile that you were
25
    putting down you think was Tarkett?
```

i		
1	A	Yes, sir.
2	Q	Okay. And why do you say that?
3	A	I believe the name Tarkett was written on
4	the boxes.	
5	Q	Can you describe the boxes?
6	A	It was just a brown cardboard box.
7	Q	And this is floor tile you were putting
8	down?	
9	A	Yes, sir.
10	Q	Okay. Was the name Tarkett on the box
11	itself?	
12	A	I believe it was, yes.
13	Q	Was anything any other writing on the
14	box itself?	
15	A	Just the style number and all.
16	Q	Do you remember the style number?
17	A	No, sir.
18	Q	Did it have a product name, brand name,
19	on the box?	
20	A	I don't remember nothing. The onliest
21	thing I remen	mber I think I think it was Tarkett
22	tile is all	I
23	Q	You just think you remember Tarkett
24	A	Yes.
25	Q	on the box?

1	And that that one word is the only
2	word you remember here today being on those boxes?
3	A Yes.
4	Q Do you know how many boxes you had to use
5	to do the retiling?
6	A Probably two or three hundred.
7	Q And what would you do you recall how
8	many tiles were in each box?
9	A 45.
10	Q Okay. And what was the color of the new
11	tiles you were putting down? Was that the off white,
12	too
13	A It was yeah.
14	Q or was that a different color?
15	A It was off white.
16	Q It was the same color as what you were
17	taking up?
18	A Pretty close.
19	Q Was there anything inside the boxes of
20	tiles other than the tiles themselves?
21	A No.
22	Q There were no instructions or any kind of
23	paperwork inside the boxes themselves?
24	A Not in them boxes, no.
25	Q Okay. And what was the name of your

1	friend that was helping you on this job?
2	A The what?
3	Q You said you had a friend that was
4	helping you doing the tile removal. Did he also help
5	you put the new tile down?
6	A Well, there was several guys that helped
7	put it down.
8	Q Okay. Were they working for you or for
9	Sears?
10	A For me.
11	Q Okay. Do you remember any of their
12	names?
13	A Well, of course, my son-in-law Bobby and
14	a guy by the name of Archie. He also worked for
15	Sears.
16	Q Do you remember Archie's last name?
17	A Sir?
18	Q Do you remember Archie's last name?
19	A Fisher.
20	Q Anybody else that you recall other than
21	your son-in-law and Archie helping on this job?
22	A Yeah, I can see most of them, but I can't
23	remember their names.
24	Q Okay.
25	A That was a long time ago.

1	Q I understand.
2	Was there any writing on the tiles, the
3	new tiles, that you were putting down themselves?
4	A I don't think so, no.
5	Q So there was nothing on the boxes of
6	these new tiles that said the tiles contained
7	asbestos; is that correct?
8	A No, sir.
9	Q And there was nothing on the tiles
10	themselves that said they contained asbestos?
11	A No, sir.
12	Q Okay. You don't have any personal
13	knowledge of whether they contained asbestos or not;
14	do you?
15	A No, sir.
16	Q Okay. Were the guys working with you
17	doing the lion's share of the installation of these
18	new tiles?
19	A Were they doing what?
20	Q Were they doing most of the work doing
21	this installation work or were you actually were
22	you mostly supervising, in other words, and they were
23	doing the labor?
24	A Yeah. I was telling them where to put it
25	and how to put it, and they were actually putting the

```
1
    tile down.
2
                         So the actual installation work
         Q
                  Okay.
3
    was being done by other people; is that right?
4
         Α
                  Yes.
5
         Q
                  And any tile work or any cutting that had
 6
    to be done or anything was really being done by them,
7
    and you --
8
         Α
                  Yes.
9
         Q
                  -- were just supervising?
10
         Α
                  Yes.
11
                  Okay.
                         And when on occasion I take it
         Q
12
    most of the work you would actually be able to use the
    full square of tiles laying them down; is that
13
14
    correct?
15
         Α
                  Yes.
16
                  Okay. On the occasion where you'd have
         Q
17
    to cut the tile, you use a razor knife or some other
    type of instrument?
18
19
         Α
                  Well, we'd use a tile cutter when we
20
    could, and other times we used a heat gun and a knife.
21
         Q
                  Okay. Did any -- did those methods
22
    create dust when you were cutting the tile?
23
         Α
                  No.
                  Okay. And I take it you didn't wear --
24
         Q
25
    you or the people working with you doing this
```

1	installation	didn't wear a mask during the job?
2	A	No. I wish we had have but we didn't.
3	Q	Okay. And that was because as far as you
4	could tell th	nere was no dust being created by doing
5	this installa	ation; is that correct?
6	A	Not a lot, no.
7	Q	What dust was created? I thought you
8	said when you	cut it there was no dust created.
9	A	Not when we were putting it down. When
10	you're taking	g it up, there was
11	Q	Okay.
12	A	very little.
13	Q	Okay.
14	A	Sweeping up the floor.
15	Q	Okay. But that was all debris left over
16	from	
17	A	Yes.
18	Q	the old tile that you were taking up
19	that you can	t identify; correct?
20	A	Yes, sir.
21	Q	As far as putting down the new tile, that
22	didn't create any dust?	
23	A	No.
24	Q	Okay. Let me move on to some Tarkett-
25	specific type	es of products, Tarkett USA in particular.

```
1
    for yourself and for Sears, what did you do with
2
    scraps of flooring?
3
                  Well, Sears had a compressed dumpster at
4
    the back of their store, and they let me throw all the
    old stuff in it.
5
 6
         Q
                  Okay.
                         Before you threw the scraps of
7
    flooring into the compressed dumpster at Sears, did
8
    you have to do anything special to it, bag it up, wet
9
    it down, anything like that?
10
         Α
                  No.
11
         Q
                  Okay.
                         And if I understand your testimony
12
    correctly from yesterday, in about 1977, maybe late
    '76, that's when you opened Mock Flooring; correct?
13
                  Yeah, in '77.
14
         Α
15
                  '77. Okay.
         Q
16
                  You felt pretty good after your six to
17
    nine months on the job with Coastal to open your own
    business?
18
19
                  Well, I pretty much watched my cousin
         Α
20
    what he did, and I said I don't figure I can -- there
21
    ain't no reason I can't do that.
22
         Q
                 All right.
23
         Α
                 And the salesman at Sears thought I could
    do it.
24
25
                  That's right. You said some folks from
         Q
```

1	Sears had seen you working and
2	A Yes.
3	Q offered you the contract?
4	A Yes, sir.
5	Q All right. This contract that you had
6	with Sears, I think you said maybe you had an initial
7	one-year contract that you might not have even signed
8	and then you just kept working for them; right?
9	A Yes. I signed I think the only one I
10	ever signed was the first one.
11	Q All right. Do you remember anything
12	about the terms of that contract?
13	A I don't think I ever read it. I just
14	signed it.
15	Q Got a whole lot of people in this room
16	that would advise against doing that again.
17	A I know, I know. You should read
18	everything you sign.
19	Q That's right.
20	Okay. But despite that, Sears treated
21	you fairly over the years?
22	A Yes.
23	Q Okay. Do you recall whether it was in a
24	contract or in any sort of training or instruction you
25	may have gotten from Sears, did they require you to

1	follow any specific safety guidelines?
2	A No. They just informed me to take care
3	of people's personal property.
4	Q Did you have any sort of training or
5	receive any sort of information, either from Sears or
6	on your own, about OSHA?
7	A No.
8	Q Do you know what OSHA is?
9	A Yes.
10	Q Okay. You also said that when you did a
11	job there was a contract entered into with the
12	homeowner; correct?
13	A Yes.
14	Q And I think as you described today that
15	it was actually the salesperson that did that contract
16	with the owner and then scheduled the installation
17	which you did?
18	A Yes, sir.
19	Q So you didn't have any involvement in
20	that contract with the owner?
21	A No.
22	Q Okay. Would there sometimes be in the
23	contract some sort of special provisions that an owner
24	might have about furniture or about where the flooring
25	was going to be or anything like that?

Mock v. American Biltrite, et al.

January 11, 2018

IN THE STATE COURT O STATE OF			
ROY MOCK and ARMANDE MOCK,	)		
Plaintiffs,	)	CIVIL ACTION FILE	
vs.	) )	NO.: STCV1701890	
AMERICAN BILTRITE, INC., et al.,	)		
Defendants.	) ) )	Volume III of III (Pages 400-452)	

Deposition of ROY E. MOCK, taken on behalf of the Defendants, pursuant to the stipulations contained herein, reading and signing of the deposition being reserved, in accordance with the Georgia Civil Practice Act, before Daniel M. Gershwin, Certified Court Reporter and Notary Public, at the Embassy Suites, 145 West Mulberry Boulevard, Savannah, Georgia, on the 11th day of January, 2018, commencing at the hour of 9:15 a.m.

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REGENCY-BRENTANO, INC.
CERTIFIED COURT REPORTERS
13 Corporate Square
Suite 140
Atlanta, Georgia 30329
(404) 321-3333

Mock v. American Biltrite, et al.

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  can't remember his name.
 1
 2
                Do you know if he's still living?
 3
        Α
                No, he's not.
                You talked about the contract that you
 4
  signed with Sears to start the flooring work --
  installation work with Sears, and I think there was
   some back and forth. Did you actually sign that
   contract?
 9
        Α
                The first one I did.
                And I believe you said that was a
10
  contract that went for a period of a year?
11
12
        Α
                I believe that's what Ms. Lingerfelt told
13 me.
14
                I'm sorry. What was the name you just
        Q
15
  used?
                Ms. Lingerfelt. She was an employee at
16
17
  Sears.
           She was like an assistant manager of the
18
  store.
                And what was -- what was her first name?
19
        Q
20
        Α
                Ms.
21
                Okay.
        Q
22
        Α
                She was a little bit older than me, so I
23
  called her Ms. Lingerfelt.
24
        0
                Okay. Do you -- how do you spell that?
25
  Is that L-I-N-G-E-R-F-E-L-T?
```

Mock v. American Biltrite, et al.

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        Α
 1
                L-I-N-G-E-R-F-E-L-T, I believe.
 2
                And when you say that she was the
        0
  assistant manager of the store, are we talking about
 3
   the Oglethorpe location?
 4
 5
        Α
                Yes, ma'am.
 6
        Q
                The two-story --
 7
        Α
                Yes, ma'am.
 8
        Q
                -- retail center? Okay.
 9
                And I believe you mentioned yesterday you
  don't recall the terms of the contract; correct?
10
11
        Α
                I really don't even remember seeing it,
  but I think I signed one.
12
13
                But in terms of what you talked -- you
  talked with Mr. Lein about what they expected you to
14
15
  do on these different jobs; is that correct?
16
                Yes, ma'am.
        Α
17
                MS. ZINNS: Object to form.
  BY MR. BENJAMIN:
18
19
                And what was the discussion that you had
        Q
20
  with -- with Mr. Lein?
                Well, he came out on some of the jobs
21
  that I was doing with Coastal Floors and kept bugging
22
  me to come and do Sears' work. And after a while I
23
  just decided to go sign this contract with them and do
24
25
  their work.
```

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January 11, 2018

Page 419 But did you talk about specifically what 1 Q you would be expected to do? You talked about -- let me take a step back. 3 Yesterday you mentioned in terms of 4 getting paid it depended on what you did. So when you initially talked with Mr. Lein, what did you talk about in terms of what you would be expected to do on these different jobs? 9 Well, he said I still would be doing the same kind of work that I was doing and I didn't have 10 to worry about trying to collect money from customers, 11 that Sears would do that, and Sears would pay me for 12 all the installation. 13 14 And how were you paid? Was it check, cash? How did that work? 15 16 No. They -- they wrote -- wrote out a 17 check to me once a week. 18 0 And again, that would be based on the 19 work that you did for that particular week? 20 Α Yes, ma'am. 21 And what was the process? Would you -you talked about having those contracts for each 22 23 particular job. Would you take those contracts in to Ms. Lingerfelt so that you could then receive payment, 24 25 or how did that process work?

Mock v. American Biltrite, et al.

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        Q
                Okay. But in terms of specifically
 1
   talking about the asbestos in some of the -- in some
   of the products, you were talking with a divisional
   manager you said?
 5
        Α
                His name was Jimmy DeLoach.
 6
        Q
                Jimmy DeLoach?
 7
        Α
                (Nods head affirmatively.)
                And how did that discussion come about
 8
        0
  with Jimmy DeLoach in the late '90s or in the '90s?
 9
10
        А
                Well, if -- if the salesman went out and
   sold the job and they talked to a customer about
11
  removing vinyl that's glued down and they told us that
12
  we had to check with the customer before we took it
  up. And if we didn't know whether it had asbestos in
14
15
  it or not that they had to be tested before we could
  remove it.
16
17
                Now, I want to turn your attention to the
        Q
  work that you did at the Oglethorpe -- the Sears
18
19
  retail center at Oglethorpe Mall. You talked about
20
   using the electric machine to remove the flooring
  material at that location on both levels. Do you
21
22
  recall that testimony?
23
        Α
                Yes, ma'am.
24
                I want to take a step back and just ask
25
        How did -- how did you come about becoming
```

```
Page 425
  involved in that actual work? I mean, was that
  something that Sears approached you about to come in
  and handle that work?
                Yes, the store manager. At that time his
4
  name was John Monroe. He was the best manager that
  store ever had.
7
               Was there some contract involved with
  this work?
9
        Α
                Just a verbal contract between me and
  him, and he told me how much he would pay me to take
  it up and put it down.
11
12
                And that machine, did -- did they -- did
        Q
  you actually receive that electric -- electric machine
  just for this particular job? Is that how that
14
15
  exchange came about?
16
                Sears purchased it for this job.
        А
17
  machine actually belonged to them, but after we
  finished the job they just give it to me.
18
                You also talked about at some point
19
        Q
20
  yesterday that you had installed some parquet flooring
  for Sears at one point?
21
22
        Α
                Yes, just some small displays.
23
                And was that still at the retail store at
        Q
  Oglethorpe Mall?
24
25
        Α
                Yes, ma'am.
```

Mock v. American Biltrite, et al.

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        Q
                       Do you know who manufactured that
 1
   parquet flooring?
 3
                No, I don't remember the name of it.
        Α
                You also mentioned on yesterday that one
 4
   of the particular brands of tile cutters that you used
  was made by Crane?
 6
 7
        Α
                Yes, ma'am.
                Do you recall that testimony?
 8
        Q
 9
                Do you know of any other brands of tile
  cutters that you would have used?
10
                The what?
11
        А
12
                Other brands of tile cutters that you
        0
  would have used?
13
14
                I only used one kind.
        Α
15
        Q
                Okay.
16
                And I believe it was a Crane.
17
                Mr. Mock, I also wanted to direct your
        Q
  attention back to the work that you did at the airport
18
  tower in Savannah, and I believe it was around the
19
   '80s that you testified about?
20
21
        Α
                I believe it was, yes.
22
                Okay. And do you know, what's the name
        Q
23
   of the airport?
                Savannah/Hilton Head International. It's
24
        Α
25
  right across the highway over here.
```

Mock v. American Biltrite, et al.

```
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  were working on the building silos you were, quote,
  quite a ways away from the actual mine. Do you know
  how far away you were from the mine?
        Α
                I'd say they were probably a mile or more
 4
  away from where we was actually building the silos.
                Did you ever see any of the actual work
 6
        Q
 7
  of the mine taking place?
                No, I didn't care to go there.
 8
 9
                Okay. Can you tell us what material you
        Q
  were working with when you were building the silos at
10
11
  the mine?
12
        Α
                Wood.
13
                       Were you around anyone doing work
        0
                Okay.
  with material aside from wood at the silos?
14
15
                MS. PAYNE: Object to form.
                No, just with other guys was using
16
17
  Number 9 wire or steel rebar.
  BY MS. ZINNS:
18
19
                Were you ever at the silos after they
20
  were built when material was actually being pumped
21
  into them?
22
        Α
                No, ma'am.
23
                You were asked a lot of questions about
        Q
  your work at the Oglethorpe Mall Sears store --
24
25
        Α
                Yes.
```

Mock v. American Biltrite, et al.

```
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 1
        Q
                -- when you were removing and installing
  vinyl floor; correct?
 3
                       It was 12-inch square tile, yeah.
        Α
                Yeah.
                Okay.
                       You told us yesterday that you
 4
        Q
   were mostly supervising and other people were doing
   the actual cutting of the tile. Do you remember that?
 6
 7
        Α
                Yes.
 8
        0
                Were you nearby them when they were doing
 9
   that cutting?
10
        Α
                Yes.
11
                How close would you say you were to them
        Q
  when they were doing that work?
12
13
        Α
                Sometime right beside of them.
14
                Were you showing them how to do the work?
        Q
15
  Were you ever showing them how to --
                Some of them.
16
        Α
17
        Q
                -- do the work?
18
                Some of them, yeah.
        Α
19
                Okay. I want to go back to your time in
        Q
20
  the Navy for just a moment. When Mr. Kennaday was
   asking you questions yesterday about, quote-unquote,
21
   renovation on the ship, can you tell us what you
22
23
   were -- what you were talking about? Was that the
   helicopter hangar that was being installed?
24
                The first time, just the helicopter
25
        Α
```

:	IN THE STATE COURT (	OF CHA	THAM COU	JNTY	
	STATE OF	GEORG	ΙA		
ROY MOCK	and ARMANDE MOCK,	)			
		)			
	Plaintiffs,	)	CIVIL	ACTION	FILE
		)			
vs.		)	NO.:	STCV170	1890
		)			
AMERICAN	BILTRITE, INC.,	)			
et al.,		)			
		)			
	Defendants.	)			
		)			

Videotaped deposition of ROY E. MOCK, taken on behalf of the Plaintiffs, pursuant to the stipulations contained herein, reading and signing of the deposition being reserved, in accordance with the Georgia Civil Practice Act, before Daniel M. Gershwin, Certified Court Reporter and Notary Public, at the Courtyard Marriott, 6703 Abercorn Street, Savannah, Georgia, on the 30th day of January, 2018, commencing at the hour of 10:03 a.m.

REGENCY-BRENTANO, INC.
CERTIFIED COURT REPORTERS
13 Corporate Square
Suite 140
Atlanta, Georgia 30329
(404) 321-3333

1	Q And what was the name of that business?
2	A Mock's Floor Covering Service.
3	Q Okay. Did Mock's have a relationship
4	with any particular store where you got your business
5	from?
6	A Yes. I had a contract with Sears for
7	almost 30 years.
8	Q Would you tell us how you began working
9	for Sears?
10	A Well, I was working with my cousins,
11	which was Coastal Floor Covering, and the salesman
12	from Sears would come out on two or three of the jobs
13	that I was doing and tried to get me to come to
14	install for them, and finally I gave up and agreed to
15	it.
16	Q Do you remember about what year that was?
17	A 1977.
18	Q When did you retire from Mock's Floor
19	Covering?
20	A 2006.
21	Q Okay. From that time that you first
22	began your company and began working as a contractor
23	for Sears, how did you know what job to go to every
24	day?
25	A It was a contract with Sears and their

```
1
    customer, and they would give me a work order to go
2
    and install their merchandise in the customer's home.
3
                  Did that work order provide which product
         Q
    you would install in the customer's home?
4
5
         Α
                  Well, it had the name of the merchandise
 6
    and the color number and stuff like that on the work
7
    order.
                                                    Did you
8
                  You did not parti -- excuse me.
9
    participate in picking out any of the merchandise for
10
    these customers?
11
         Α
                  No, ma'am.
12
                         When you went to a job and you got
         0
                  Okav.
13
    that purchase order, did it tell you whether to remove
14
    old flooring in the home?
15
         Α
                  Yes, it did.
16
         Q
                 At any time -- strike that.
17
                 Did you ever actually work inside of a
18
    Sears store doing floor covering?
19
         Α
                  Yes.
20
         Q
                  What year was that?
21
         Α
                  I don't know the -- remember the exact
22
    year, but it was in the '80s. I think it was the
    early '80s.
23
24
                  Can you tell us what store you worked at?
         Q
25
         Α
                  At Oglethorpe Mall in Savannah, Georgia.
```

1	Q What was the project that you performed
2	at that Sears location?
3	A Vinyl tile.
4	Q When you say "vinyl tile," did you remove
5	the old vinyl tile that was already in place?
6	A Yes, I did.
7	Q Okay. Did you have to install new vinyl
8	tile?
9	A Yes, ma'am.
10	Q Okay. Do you remember how many boxes of
11	tile you installed in that Sears location?
12	A Not exactly. It was somewhere between
13	two and four hundred.
14	Q Do you remember how many square feet of
15	tile you had to remove and install?
16	A I don't remember.
17	Q Was it the entire store? Was it the
18	entire Sears store that you were doing?
19	A Yes. At the time there was two levels.
20	Q Did you have anything to do with picking
21	out the new tile that would be installed in that Sears
22	store at Oglethorpe Mall?
23	A No, ma'am.
24	Q Were you given any tools by Sears to
25	remove the old tile?

January 30, 2018

1 They purchased a floor-stripper Α Yes. 2 machine for me to use to remove the tile. 3 Q And what happened to that floor-stripper 4 machine after you left that project? 5 Α They gave it to me. Okay. We've talked a little bit so far 6 Q 7 about your diagnosis with mesothelioma. Can you tell us, did something happen that made you think you might 8 9 be sick or cause you to go to the doctor? 10 Α It happened in February of 2016. Yes. 11 What happened? Tell us about that. Q 12 All of a sudden I would start belching Α 13 and I couldn't stop. So I went to the doctor, and for 14 the next year and a half to two years they run all 15 kind of tests on me, different doctors, stomach 16 doctor, lung doctors, and everything else. 17 And finally in July -- I think it was 18 July of 2017 -- my lung doctor, I had them do a biopsy 19 of a place they saw on my lungs. 20 Q Okay. 21 Α And it said it come out to be cancer. 22 But at that time they didn't really know the type of 23 cancer. 24 So in September they did a biopsy through 25 my back so they could get more of the cancer and do a